

SYSTEMATIC WITHDRAWAL PLAN - REGISTRATION FORM

DISTRIBUTOR / BROKER INFORMATION				TIME STAMPING
Name & Broker Code / ARN / RIA	Sub Broker / Sub Agent ARN Code	*EUIN	Internal Code for Sub-broker/ Employee	
ARN- (ARN stamp here)	ARN-			

#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the investment strategy of Arudha SIF.
 Declaration for "execution-only" transaction. I/We hereby confirm that the EUIN box has been intentionally left blank by me/ us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of First / Sole Applicant /
Guardian / Authorised Signatory

MANDATORY	EXISTING UNIT HOLDER INFORMATION (Please mention the PAN/PERN without which, this application form will be considered incomplete and is liable to be rejected.)			
	Name of the First Holder <input style="width: 80%;" type="text"/>			
	Folio No. <input style="width: 15%;" type="text"/>	PAN/PERN (mandatory) <input style="width: 20%;" type="text"/>	Enclosed <input type="checkbox"/> PAN/PERN Proof <input type="checkbox"/> KYC Compliant	

SWITCH CUM SYSTEMATIC WITHDRAWAL PLAN
 SYSTEMATIC WITHDRAWAL PLAN

SWITCH OPTION (To be filled along with SWP section below)			
I wish to switch	₹ <input style="width: 40%;" type="text"/>	OR	Units <input style="width: 40%;" type="text"/>
FROM Scheme	Arudha	Plan	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW		
TO Scheme	Arudha	Plan	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW		

SYSTEMATIC WITHDRAWAL PLAN			
Scheme	Arudha	Plan	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW		
Option ^f	Frequency	Days	Amount (₹)
<input type="checkbox"/> Fixed Amount	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> 1 st <input type="checkbox"/> 10 th <input type="checkbox"/> 20 th <input type="checkbox"/> Others	₹ <input style="width: 40%;" type="text"/>
SWP Period Start	M M Y Y	End	M M Y Y (If End date is not mentioned SWP will be effected till funds are available)
Payout will be released to the default bank account registered in the folio. In case you wish to receive the SWP proceeds in any other bank account registered in the folio, please fill in the below mentioned details.			
Bank Name	<input style="width: 90%;" type="text"/>		
Account No.	<input style="width: 90%;" type="text"/>		

YEARLY SWP TOP-UP (OPTIONAL) (Refer instruction no. C) ^f	
<input type="checkbox"/> Amount <input type="checkbox"/> 500 <input type="checkbox"/> 1000 <input type="checkbox"/> Other <input style="width: 20%;" type="text"/> in multiples of 500	<input type="checkbox"/> OR <input type="checkbox"/> Percentage <input type="checkbox"/> 3% (default) <input type="checkbox"/> 4% <input type="checkbox"/> 5% <input type="checkbox"/> Other <input style="width: 20%;" type="text"/> in multiples of 1%, not decimal

DECLARATION & SIGNATURES			
I/We have read and understood the contents of the ISID of the Investment strategy of Arudha SIF. I/We hereby apply for units of the said such Investment strategy and agree to abide by the terms, conditions, rules and regulations governing the Investment strategy. I/We hereby declare that the amount invested in the Investment strategy is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Arudha SIF. The information given in / with this application form is true and correct and further agrees to furnish additional information sought by the Arudha SIF and undertake to update the information/details with the AMC / Arudha SIF / Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Arudha SIF shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify Arudha SIF, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different investment strategy of various SIF Arudha SIFs from amongst which the Investment strategy is being recommended to me/us. I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Arudha SIF/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. Applicable to Foreign Resident's Residing in India: I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Investment strategy as per the said FEMA regulations and other applicable laws and regulations. FATCA/CRS Certification: I/We have understood the information requirements of this Form (read along with the FATCA& CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end.			
First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant	Third Applicant	POA Holder

ACKNOWLEDGMENT - SYSTEMATIC WITHDRAWAL PLAN - REGISTRATION FORM

Name of the First Holder <input style="width: 90%;" type="text"/>	Folio No. <input style="width: 90%;" type="text"/>
SWP Scheme <input style="width: 40%;" type="text"/>	Plan <input style="width: 20%;" type="text"/>
Frequency <input style="width: 20%;" type="text"/>	Date <input style="width: 20%;" type="text"/>
Amount (₹) / Percentage <input style="width: 40%;" type="text"/>	STAMP & SIGNATURE

INSTRUCTIONS

GENERAL INSTRUCTIONS

1. SWP facility is available only for units held / to be held in Non - Demat Mode in the Scheme. Currently it is available for all debt as well as equity schemes. However, the schemes are subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Arudha SIF for updated list.
2. Please read the Key Information Memorandum and the terms of the Scheme Information Document(s) of the respective Scheme(s) and Statement of Additional Information carefully before filling the Application Form.
3. New Investors who wish to enroll for SWP are required to fill the SWP enrolment form along with the Scheme Application Form. Existing unit holders should provide their Folio Number.
4. If SWP falls on a Non-Business Day or on a date which is not available in a particular month, the SWP will be processed on the immediate next Business Day.
5. In case none of the frequencies have been selected then Monthly frequency shall be considered as the Default frequency.
6. In case no date is mentioned, 10th will be default date for monthly frequency
7. Minimum number of instalments for SWP Facility for all frequencies shall be 6.
8. In case the number of instalments or SWP End Date is not specified, SWP will be effected till funds are available.
9. The SWP will terminate automatically if all the units are withdrawn from the folio, or if the enrollment period expires; whichever is earlier.
10. The applicant may choose to discontinue the SWP facility at any time, by providing a written request at their nearest Arudha SIF Branch / CAMS Investor Service Centre.
11. All requests for discontinuing Systematic Withdrawal Plans (SWP) shall be subject to an advance notice of 10 days' prior the next withdrawal date.
12. The unitholder should submit the duly filled in SWP Enrolment Form minimum 10 days before the first withdrawal date.
13. Unitholders must use separate 'SWP' enrolment forms for different Schemes/ Plans/ Options.
14. Commencement date is the date from which the first withdrawal will commence.
15. If there is inadequate balance on the SWP date, the SWP will be processed for the balance units and SWP will continue.
16. If there is nil balance on the SWP date on 6 consecutive due dates, the SWP will be automatically terminated and there will not be any further trigger.
17. The provision for 'Minimum Redemption Amount' specified in the respective Scheme Information Document will not be applicable for SWP.
18. Bank Account for Payout: In order to protect the interest of Unitholders from fraudulent encashment of redemption / dividend cheques, as per SEBI mandate, redemption / withdrawal proceeds under the SWP will be paid by forwarding a cheque or by directly crediting the Bank Account registered in the Folio on the date of each withdrawal.
19. SWP will be automatically terminated if all units from the Scheme are pledged or upon receipt of intimation of death of the unit holder.
20. Unitholders can choose to apply for SWP directly from funds available in their folio OR by effecting switch and then subsequent SWP, by selecting the appropriate check-box.
21. SWP transaction for Arudha Hybrid Long Short will be processed on the following Monday or Wednesday of the SWP date opted by the investor, as the redemption is allowed only on Monday and Wednesday. Note: If Monday/Wednesday falls on non-business day, then the next business day's NAV would be considered for transaction processing.

A. SWITCH CUM SYSTEMATIC WITHDRAWAL PLAN:

- i. Facility is provided to investors for a one-time switch from 'source' scheme (already available in folio) to a 'target' scheme, so as to enable them to initiate SWP from 'target' scheme.

- ii. SWP (Fixed amount or percentage withdrawal) will be processed from the 'target' scheme. i.e. 'target' scheme under switch facility should be the same as 'source' scheme under SWP facility. In case they differ, or is not mentioned, the request will be declined.
- iii. SWP will be based on the outstanding amount in 'target' scheme (if existing investment is available in 'target' scheme, that also will be accounted).
- iv. Investors can opt for this facility and withdraw their investments systematically on Monthly/Quarterly/Half Year/Yearly basis. Withdrawals will be made / effected on the date chosen for the selected frequency and would be treated as redemptions.
- v. The SWP start date shall be at least 7 working days after the date of switch-in transaction.

B. FIXED AMOUNT PLAN:

- i. Facility is provided to investors for withdrawal of a fixed amount, on Monthly / Quarterly/ Half Year/Yearly basis, on the specified date. Withdrawals will be made/effected on the date chosen for the selected frequency and would be treated as redemption.
- ii. Fixed Plan is available for BOTH Growth and Dividend Option under the selected scheme.
- iii. Unitholders under the Fixed Plan can redeem (subject to completion of lock-in/pledge period, if any), under each Scheme / Plan / Option a minimum ₹ 200/- and any amount thereafter.
- iv. Commencement date for Fixed Plan, is the date from which the first withdrawal will commence.
- v. Date wise weekly SWP shall be executed on the scheduled dates viz 1, 8, 15, 22.
- vi. Day wise weekly SWP shall be executed on any day from Monday to Friday. Wednesday will be considered as default if investor has not mentioned any day.
- vii. In case of Weekly SWP option, if the investor fails to specify a date or a day, the SWP will be executed on a day-wise basis, with Wednesday being the default day.

C. SWP TOP UP:

- i. The SWP Top Up frequency will be annual. Investors will have an option to opt either for fixed amount top-up or fixed percentage (%) top-up
- ii. In case of amount top up, the investor can increase SWP amount at annual interval with fixed amount. Minimum TOP-UP amount has to be ₹ 500 and in multiples of ₹ 500 thereof. In case the Top-up amount is not selected/not legible/not clear/if multiple options are opted, then ₹ 500 shall be the default option.
- iii. In case of % top-up, investor can increase SWP amount at annual interval based on the percentage (%) opted. The minimum top-up percentage (%) would be 3% and in multiples of 1%. In case the Top-up % is not selected/not legible/not clear/if multiple options are opted, then 3% shall be the default option.
- iv. SWP TOP-UP facility not available for Capital Appreciation Option.

Illustration of % top-up:

Monthly Installment Period	Monthly SWP amount (₹) with 3% top up	Monthly SWP amount (₹) with 5% top up
1 to 12 months	5,000	5,000
13 to 24 months	5,150	5,250
25 to 36 months	5,305	5,513
37 to 48 months	5,464	5,788